

# **FEE TRANSMITTAL SHEET** (FOR FY 2003)



Complete if Known

Application No. 09/909,581  
Filing Date July 20, 2001  
First Named Inventor Nathan R. Brown  
Group Art Unit 3729  
Examiner Nathan R. Brown  
Atty. Docket Number 500200.02 (29285/US/1)

## **METHOD OF PAYMENT (Check One)**

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

## **FEE CALCULATION**

### **1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	750	201	375	<input type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	750	208	375	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee

**Subtotal (1) \$ 0**

### **2. EXTRA CLAIM FEES**

Current Claims	Prior	Extra	Fee	Fee Paid
Total 39	- 39	=	x \$	= \$ 0
Ind. 4	- 4	=	x \$	= \$ 0
Multiple Dependent Claims				x \$ = \$

**Subtotal (2) \$ 0**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

### **3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For Filing a Request for Reexamination	\$
195	300	196	300	Publication (early of publication)	\$
115	110	215	55	Extension to reply within first month	\$
116	410	216	205	Extension for reply within 2 <sup>nd</sup> month	\$
117	930	217	465	Extension for reply within 3 <sup>rd</sup> month	\$
118	1,450	218	725	Extension for reply within 4 <sup>th</sup> month	\$
128	1,970	280	985	Extension for reply within 5 <sup>th</sup> month	\$
120	320	220	160	Filing a brief in support of an appeal	\$
121	280	270	140	Request for oral hearing	\$
148	110	248	55	Terminal Disclaimer Fee	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,300	241	650	Petition to revive - unintentional	\$
142	1,300	242	650	Utility/Reissue issue fee (+ advance copies)	\$
143	470	243	235	Design issue fee (+ advance copies)	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	180	126	180	Submission of IDS	\$ 180
581	40	81	40	Recording each patent assignment per property (times number of properties)	\$
179	750	279	375	Request for Continued Examination (RCE)	\$

Other fee (specify)

**Subtotal (3) \$ 180**

**Total Amount of Payment: \$ 180**

Submitted by:

Name: Steven H. Arterberry

Reg. No.: 46,314

Telephone: (206) 903-8800

Signature: *Steven Arterberry*

Date: 8/11/03



11/10/03  
PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

August 11, 2003  
Date

Tamra Miller  
Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Nathan R. Brown

Attorney Docket No.: 500200.02 (29285/US/1))

Serial No. : 09/909,581

Group Art Unit : 3729

Filed : July 20, 2001

Examiner : Alvin J. Grant

Title : METHOD AND APPARATUS FOR CHEMICAL-MECHANICAL PLANARIZATION  
OF MICROELECTRONIC SUBSTRATES WITH A CARRIER AND MEMBRANE

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**  
**AUG 21 2003**

Sir:

**TECHNOLOGY CENTER R3700**

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(c). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP



Steven H. Arterberry  
Registration No. 46,314

SHA:tlm

Enclosures:

Postcard

Check

Copy of Supplemental Information Disclosure Statement

Form PTO-1449

Cited References (5)

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